

Due Date: October 16, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	George R. Hood	Examiner:	Andrew J. Rudy
Serial No.:	09/608,681	Group Art Unit:	3627
Filed:	June 29, 2000	Docket:	9015
Title:	OTHER REVENUE IMPLEMENTATION FOR FINANCIAL PROCESSING IN A RELATIONAL DATABASE MANAGEMENT SYSTEM		

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on October 15, 2003.

By: [Signature]
Name: George H. Gates

RESPONSE UNDER 37 C.F.R. §1.105

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I. Introduction

In response to the Office Action dated September 16, 2003, no claims have been cancelled, amended or added. Claims 1-27 remain in the application. Re-examination and re-consideration of the application is requested.

II. Requirement under 37 C.F.R. §1.105

In paragraph (4) of the Office Action, the Examiner requested, under 37 C.F.R. §1.105, if the "Royal Bank unearths profitability solution" article, or any similar article, was published in any other public forum? In particular, the Office Action requested whether this, or any similar article, published prior to one year before the filing date of the present Application.

Applicant's attorney submits herewith a Declaration under 37 C.F.R. §1.132 by George R. Hood in response to this requirement, wherein Mr. Hood states that he has no knowledge of the publication, or any other publication, being published prior to one year before filing date of the above-identified patent application. Moreover, Applicant's attorney has no knowledge of the publication, or

any other publication, being published prior to one year before filing date of the above-identified patent application.

III. Conclusion


In view of the above, it is submitted that this application is now in good order for allowance and such allowance is respectfully solicited. Should the Examiner believe minor matters still remain that can be resolved in a telephone interview, the Examiner is urged to call Applicant's undersigned attorney.

Respectfully submitted,

GATES & COOPER LLP
Attorneys for Applicant

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Date: October 15, 2003

By: 
Name: George H. Gates
Reg. No.: 33,500

GHG/

G&C 30145.391-US-01

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DECLARATION OF GEORGE R. HOOD UNDER 37 C.F.R. § 1.132

I, GEORGE R. HOOD, declare as follows:

1. I am a named inventor on the patent application identified above, and am authorized by the Assignee to make this declaration.
2. I am the sole inventor of the claims in the above-identified patent application.
3. The subject matter disclosed in the publication entitled Curley, "Royal Bank Unearths Profitability Solution," which was cited by the Patent Office during the examination of the above-identified patent application, comprises a description of my invention. The publication includes statements concerning the invention that were made by representatives of NCR Corporation, the assignee of the above-identified patent application.
4. I have no knowledge of the publication, or any other publication, being published prior to one year before filing date of the above-identified patent application.
5. I further declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 10/9/03
George R. HoodRECEIVED
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